

*This brochure supplement provides information about Ronald Dewayne Smith Jr that supplements the Smith Wealth Advisors, LLC brochure. You should have received a copy of that brochure. Please contact Ronald Dewayne Smith Jr if you did not receive Smith Wealth Advisors, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Ronald Dewayne Smith Jr is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **Smith Wealth Advisors, LLC**

Form ADV Part 2B – Individual Disclosure Brochure

*for*

**Ronald Dewayne Smith Jr**

Personal CRD Number: 2413775

Investment Adviser Representative

Smith Wealth Advisors, LLC  
6836 Bee Caves Road, Suite 272  
Austin, TX 78746  
(512) 330-9161  
[ron@smithwealthadvisors.com](mailto:ron@smithwealthadvisors.com)

UPDATED: 01/01/2021

## Item 2: Educational Background and Business Experience

**Name:** Ronald Dewayne Smith Jr                      **Born:** 1966

### **Educational Background and Professional Designations:**

#### **Education:**

BS Finance and Management, University of Maryland - 1993

#### **Business Background:**

05/2015 - Present	President Smith Wealth Advisors, LLC
10/1993 - 04/2015	Financial Advisor Ameriprise Financial

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## Item 4: Other Business Activities

Ronald Dewayne Smith is the founder of Smith Wealth Advisors, LLC and always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services of any representative of Smith Wealth Advisors, LLC in connection with such individual's activities outside of Smith Wealth Advisors, LLC.

Ronald Dewayne Smith is also founder of Vista Park Lamesa, LLC.

### **Item 5: Additional Compensation**

Ronald Dewayne Smith Jr does receive any compensation from any outside activities.

### **Item 6: Supervision**

As the only owner and President of Smith Wealth Advisors, LLC, Ronald Dewayne Smith Jr supervises all activities of the firm and its employees. Ronald Dewayne Smith Jr's response information is on the cover page of this disclosure document. Ronald Dewayne Smith Jr adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by Texas state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Ronald Dewayne Smith Jr has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Ronald Dewayne Smith Jr has NOT been the subject of a bankruptcy petition in the past ten years.