

This brochure supplement provides information about Ronald Dewayne Smith Jr that supplements the Smith Wealth Advisors, LLC brochure. You should have received a copy of that brochure. Please contact Ronald Dewayne Smith Jr if you did not receive Smith Wealth Advisors, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Ronald Dewayne Smith Jr is also available on the SEC's website at www.adviserinfo.sec.gov.

Smith Wealth Advisors, LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Ronald Dewayne Smith Jr

Personal CRD Number: 2413775

Investment Adviser Representative

Smith Wealth Advisors, LLC
6836 Bee Caves Road, Suite 272
Austin, TX 78746
(512) 330-9161
ron@smithwealthadvisors.com

UPDATED: 01/01/2019

Item 2: Educational Background and Business Experience

Name: Ronald Dewayne Smith Jr **Born:** 1966

Educational Background and Professional Designations:

Education:

BS Finance and Management, University of Maryland - 1993

Business Background:

05/2015 - Present	President Smith Wealth Advisors, LLC
10/1993 - 04/2015	Financial Advisor Ameriprise Financial

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Ronald Dewayne Smith is an independent licensed insurance agent, and from time to time, will offer clients advice or products from those activities. Clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. Smith Wealth Advisors, LLC always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services of any representative of Smith Wealth Advisors, LLC in connection with such individual's activities outside of Smith Wealth Advisors, LLC.

Ronald Dewayne Smith is also founder of Smith Wealth Real Estate, LLC.

Item 5: Additional Compensation

Ronald Dewayne Smith Jr does receive compensation from LTC Global, an insurance exchange, when placing Long Term Care Policies as means of protecting his client's investment assets from expensive assisted living and nursing home care.

Item 6: Supervision

As the only owner and President of Smith Wealth Advisors, LLC, Ronald Dewayne Smith Jr supervises all activities of the firm and its employees. Ronald Dewayne Smith Jr's response information is on the cover page of this disclosure document. Ronald Dewayne Smith Jr adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

Item 7: Requirements For State Registered Advisers

This disclosure is required by Texas state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

- A. Ronald Dewayne Smith Jr has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
 2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
- B. Ronald Dewayne Smith Jr has NOT been the subject of a bankruptcy petition in the past ten years.